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INDICATION FORM**

Application Number	09/800,855
Filing Date	March 7, 2001
First Named Inventor	Stephen Sonis
Title	METHODS AND COMPOSITIONS FOR TREATING AND PREVENTING MUCOSITIS
Art Unit	1814
Examiner Name	Delacroix Mulrhead
Attorney Docket Number	ORA5012USACNT1(J&IO-100US2)

I hereby appoint:

☒ Practitioners associated with the Customer Number:

23122

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☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Name

Harry Barnes

Signature

Date

1/15/04

Telephone

781-235-3808

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.81 and 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1460. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1458.

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ORA5012USACNT1(J&JO-100US2)

PTO/SB/86 (04-03) (AW 09/03)  
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### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Musosal Therapeutics LLC

Application No./Patent No.: 09/800,855

Filed/Issue Date: March 7, 2001

Entitled: METHOD AND COMPOSITIONS FOR TREATING AND PREVENTING MUCOSITIS

states that it is:

- 1 ☒ the assignee of the entire right, title, and interest; OR
- 2 ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel Q10209, Frame 0099, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

1/15/04  
Date  
781-235-3808  
Telephone Number

Harry Barnett  
Typed or printed name  
[Signature]  
Signature  
Managing Partner  
Title

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